



UCO BANK

Department of Information Technology

Request for Proposal (RFP) For Supply, Installation, Implementation, Post- Implementation Onsite Support of End-to-End Comprehensive & Integrated Global Treasury Management Solution (CIGTMS)

RFP Ref. No: UCO/DIT/774/2022-23 Date: 18/06/2022

Fourth Pre-Bid Responses/ Amendments/ Addendums/ Corrigendum's

1. Modified Clauses

S.no	RFP reference	RFP Clause	Modified Clause
1	Clause 3 OEM Eligibility Criteria	<p>The Proposed Integrated Treasury Solution with all three modules (Front, Mid, Back office) should have been implemented in at least One Schedule Commercial Bank in India having more than 1500 Branches in India. And The proposed Integrated Treasury Solution should have been implemented abroad in at least One Schedule Commercial Bank having business mix (Deposits + Advance of schedule commercial Bank) during the last financial year (2020 - 2021) of Rs. 2,00,000 Crore.</p> <p><u>Documentary Proof</u></p> <p>Relevant Credential letter from the customer Or Bidder should provide Purchase Order(s) together with the project completion / installation report duly signed & sealed by the respective OEM's customer (The Purchase Orders & the installation /Project completion reports should have dates). Or Self-declaration from Company Secretary along with a reference call / a mail confirmation from the customer only in case of Global</p>	<p>The Proposed Integrated Treasury Solution with all three modules (Front, Mid, Back office) should have been implemented in at least One Schedule Commercial Bank in India having more than 1500 Branches in India. And The proposed Integrated Treasury Solution should have been implemented abroad in at least One Schedule Commercial Bank having business mix (Deposits + Advance of schedule commercial Bank) during the last financial year (2020 - 2021) of Rs. 2,00,000 Crore.</p> <p><u>Documentary Proof</u></p> <p>Relevant Credential letter from the customer Or Bidder should provide Purchase Order(s) together with the project completion / installation report duly signed & sealed by the respective OEM's customer (The Purchase Orders & the installation /Project completion reports should have dates). Or Self-declaration from Company Secretary / authorized signatory</p>

		credentials.	signature (other than bid signatory) along with a reference call / a mail confirmation from the customer only in case of Global credentials.
2	Clause 2.7.1.1	System should have capability for Account ledger creation, closing, interest calculation, auto renewal, transaction, Intersol transfer of FCNR like in case of Merging of Branches etc, the system should have provision of DUAL currencies in which bank can maintain the base currency equivalent to all foreign currencies possessions, balances, transactions	Granular portfolio details (Account ledger replication) for Forex assets (like PCFC, FCTL, PSFC) and liability (like FCNR, EEFC, RFC, RFCTD) can be removed, if the proposed solution fetches and provides the GL level data of CBS for each Forex items along with accrued interest in all currency separately on daily basis . Such data is required for correct computation of Foreign exchange position in each currency. The system should have provision of DUAL currencies in which bank can maintain the base currency equivalent to all foreign currencies possessions, balances, transactions. However, such information is required for Foreign bill Module and Merchant forward contract with granular parameters and that should be part of the proposed Treasury solution.
3	Clause 2.7.1.1	Customer id details along with KYC/e-KYC which is required to be opened at Treasury system follows the opening of the same under CBS. Any changes in the customer level details should be reflected in treasury vis-à-vis in CBS	Facility for opening of Customer id shall be available in the proposed Treasury applications after complying with all KYC/e-KYC norms. For all kind of treasury related transactions the customer id of the related party/counter-party details should be fetched from the CBS system as the same would be required for special Fx margin maintenance, customer exposure, Request for Quote integration and Fx transaction turnover computation.
4	Clause 2.7.1.1	Accounts in FCY like EEFC, RFC, RFCTD, FCNR, PCFC, FCTL, initiated in CBS are required to be synchronized in treasury system from CBS on real-time basis	Granular portfolio details (Account ledger replication) for Forex assets (like PCFC, FCTL, PSFC) and liability (like FCNR, EEFC, RFC, RFCTD) can be removed, if the proposed solution fetches and provides the GL level data of CBS for each Forex items along with accrued interest in all currency separately on daily basis . Such data is required for correct computation of Foreign exchange position in each currency. The system should have provision of DUAL currencies in which bank can maintain the base currency equivalent to all foreign currencies possessions, balances, transactions. However, such information is required for Foreign bill Module and Merchant forward contract with granular parameters and that should be part of the proposed Treasury solution.
5	Clause 2.7.1.7	The system should be able to manage the Vostro account operations for domestic as well as foreign branches and should be able to send	The system should be able to manage the Vostro account operations for domestic as well as foreign branches and should be

	Clause 2.7.2.9 Clause 2.7.3.10	940 swift message	able to receive and consume 940 swift messages for Treasury NOSTRO transactions
6	Clause 2.7.1.1	There should be an interest register for PSFC loan for making Interest MOC in every quarter. There should be option for open PSFC in more than one notional rate at one time. There should be an extra column in Outstanding Purchase Suspense/PSFC & PCFC report showing extended due date of bill and separately original due date of bill. Overdue PCFC accounts interest should be calculating automatically in report of interest accrued for loans	Granular portfolio details (Account ledger replication) for Forex assets (like PCFC, FCTL, PSFC) and liability (like FCNR, EEFC, RFC, RFCTD) can be removed, if the proposed solution fetches and provides the GL level data of CBS for each Forex items along with accrued interest in all currency separately on daily basis . Such data is required for correct computation of Foreign exchange position in each currency. The system should have provision of DUAL currencies in which bank can maintain the base currency equivalent to all foreign currencies possessions, balances, transactions. However, such information is required for Foreign bill Module and Merchant forward contract with granular parameters and that should be part of the proposed Treasury solution
7	Annexure XXVI - Resource Experience	Program Manager - MBA / Engineering with PMI certification / Prince2 Certification	Program Manager - MBA / Engineering/M.Com with PMI certification or Prince2 Certification
8	Clasue 2.30 Escrow	<p>1. Subject to payment of fees for the escrow set up and annual updates by the Bank and verification by an independent consultant, the Bank and the OEM/bidder shall agree to appoint an escrow agent to provide escrow services for the deposit of the source code for the Treasury software product licensed/procured by the OEM/bidder to the Bank in order to protect its interests in an eventual situation that may arise. The Bank and the OEM shall enter into a tri-partite escrow agreement with the designated escrow agent, which will set out, inter alia, the events of the release of the source code for the software and the obligations of the escrow agent. As a part of the escrow arrangement, the company is also expected to provide a detailed code documentation of the Treasury solution which has been duly reviewed by an external independent organization / consultant of the Bank.</p> <p>2. The escrow arrangement suggested by the OEM shall not</p>	<p>1. Subject to payment of fees for the escrow set up and annual updates by the Bank and verification by an independent consultant, the Bank and the OEM/bidder shall agree to appoint an escrow agent to provide escrow services for the deposit of the source code for the Treasury software product licensed/procured by the OEM/bidder to the Bank in order to protect its interests in an eventual situation that may arise. The Bank and the OEM shall enter into a tri-partite escrow agreement with the designated escrow agent, which will set out, inter alia, the events of the release of the source code for the software and the obligations of the escrow agent. As a part of the escrow arrangement, the company is also expected to provide a detailed code documentation of the Treasury solution which has been duly reviewed by an external independent organization / consultant of the Bank.</p> <p>2. The escrow arrangement suggested by the OEM shall not be binding on the Bank. The Bank reserves the right to explore alternate escrow mechanisms based on the Bank's existing</p>

		<p>be binding on the Bank. The Bank reserves the right to explore alternate escrow mechanisms based on the Bank's existing practices. The Bank and the OEM may enter into such escrow arrangement that is mutually agreed upon by the two parties.</p> <p>3. In case OEM have its own ESCROW arrangement then the entire cost shall be borne by the bidder/OEM. In case if OEM does not have any escrow agreement, then the costs for the Escrow will be paid by the Bank directly to Escrow agent.</p> <p>4. The source code in escrow will be released to the Bank and shall be licensed to the Bank to use the source code for the purpose of maintaining and developing the software for its internal business requirements in the event that the Agreement/SLA is terminated for either default or insolvency or should the Company cease, or give notice of intention to cease to provide maintenance or technical support service for the software as required by the Agreement.</p> <p>5. The proposed agreement, to be executed between Bank, OEM and Escrow Agent, should ensure that the Escrow Agent is under an obligation to handover the Source Code to Bank merely on a demand from Bank, without any reference to OEM, claiming that the same is required to be handed to Bank in terms of the RFP/SLA/Contract to be executed between the Bank and successful bidder/Vendor.</p>	<p>practices. The Bank and the OEM may enter into such escrow arrangement that is mutually agreed upon by the two parties.</p> <p>3. In case OEM have its own ESCROW arrangement then the entire cost shall be borne by the bidder/OEM. In case if OEM does not have any escrow agreement, then the costs for the Escrow will be paid by the Bank directly to Escrow agent.</p> <p>4. In Case OEM is having its own Escrow agreement then Bidder needs to submit an undertaking from OEM stating that OEM is already having a escrow agreement with the details of the Escrow agent. In the same declaration OEM needs to confirm that Bank will be party/beneficiary of the said Escrow agreement if bidder declare as successful bidder. This underatking needs to be on OEM letter head signed by OEM authorised signatory.</p> <p>5. The source code in escrow will be released to the Bank and shall be licensed to the Bank to use the source code for the purpose of maintaining and developing the software for its internal business requirements in the event that the Agreement/SLA is terminated for either default or insolvency or should the Company cease, or give notice of intention to cease to provide maintenance or technical support service for the software as required by the Agreement.</p> <p>6. The proposed agreement, to be executed between Bank, OEM and Escrow Agent, should ensure that the Escrow Agent is under an obligation to handover the Source Code to Bank merely on a demand from Bank, without any reference to OEM, claiming that the same is required to be handed to Bank in terms of the RFP/SLA/Contract to be executed between the Bank and successful bidder/Vendor.</p>
9	<p>Clause – 3 :: Warranty/ AMC & ATS For Hardware:</p>	<p>The Selected Bidder must provide Three years comprehensive on-site Warranty after completion of Implementation and acceptance sign off by the Bank. The Bidder has to provide AMC for all the hardware equipment supplied under this RFP for the remaining period of the contract after the expiry of warranty period. The Warranty of the Hardware will start from the Go-Live of the Phase 1</p>	<p>The Selected Bidder must provide Five years comprehensive on-site Warranty after completion of Implementation and acceptance sign off by the Bank. The Warranty of the Hardware will start from the Go-Live of the Phase 1.</p>

2. Annexure

1. Annexure XXIX - Current Daily Transaction volumes & Future Projections

Type of trades/deals apart from any other activities on existing deals or on any fin/non-fin information	New trades/deals in a day	Year 1	Year 2	Year 3	Year 4	Year 5
Treasury Office Mumbai						
FX/SWAP Deal	1000	1500	2000	2500	3000	3500
Merchant Fx Txn	500	500	1000	1500	1500	2000
MM Borrowing / Lending	200	300	500	800	1000	1500
Bond Sale / Purchase	100	200	300	500	800	1000
Govt. Security	600	800	1000	1000	1000	1500
Any other type of trade	100	200	200	200	200	500
Total of India Treasury	2500	3500	5000	6500	7500	10000
Hongkong Centre						
FX/SWAP Deal (including Merchant Fx Txn)	60	300	400	500	700	1000
MM Borrowing /Lending	80	100	200	300	500	800
Bond Sale /Purchase	60	150	300	400	500	1000
Govt. Security	10	100	300	500	500	800
Any other type of trade	40	350	300	300	300	400
Total of Hongkong	250	1000	1500	2000	2500	4000
Singapore Centre						
FX/SWAP Deal (including Merchant Fx Txn)	60	300	400	500	700	1000
MM Borrowing /Lending	80	100	200	300	500	800
Bond Sale /Purchase	60	150	300	400	500	1000
MAS Bills/T Bills	10	100	300	500	500	800
Any other type of trade	40	350	300	300	300	400
Total of Singapore	250	1000	1500	2000	2500	4000

Current Admin, Active (based on the teams/desk working) & Branch users of Domestic and Overseas Centers.

Type of Users	Current No. of Users	Year 1	Year 2	Year 3	Year 4	Year 5
Treasury Office Mumbai						
Debt Asset Class – Core Treasury user	8	8	8	8	10	15
EQ Asset Class – Core Treasury user	8	8	8	8	10	10
FX Asset Class – Core Treasury user	30	30	40	40	50	50
MM Asset Class – Core Treasury user	4	4	4	4	6	10
Admin / IT users from Treasury Branch	5	5	5	5	5	5
Audit users from Treasury branch	7	7	7	7	7	7
Other branch level users for Treasury/ Forex related operation (Not core Treasury user)	300	400	500	600	700	800
Total as of India Treasury	362	462	572	672	788	897
Hongkong Centre						
Forex	4	4	6	6	10	10
Trade	3	3	5	5	10	10
Retail	2	2	3	3	5	5
Admin	2	2	3	3	5	5
Audit	3	3	5	5	5	5
Other branch level users for treasury/ forex related operation (Not core Treasury user)	16	16	28	28	25	25
Total as of Hongkong	30	30	50	50	60	60
Singapore Centre						
Forex	6	6	10	10	15	15
Trade	5	5	10	10	15	15
Retail	5	5	5	5	5	5
Admin	3	3	5	5	5	5
Audit	5	5	5	5	5	5
Other branch level users for treasury/forex related operation (Not core Treasury user)	6	6	10	10	15	15
Total as of Singapore	30	30	45	45	60	60

Place : Kolkata

Date : 15.09.2022



UCO Bank
Honours Your Trust

NOTICE TO THE BIDDERS

15.09.2022

Sub: Extension of Bid Submission date in respect of “Request for Proposal (RFP) for Supply, Installation, Implementation, Post- Implementation Onsite Support of End-to-End Comprehensive & Integrated Global Treasury Management Solution (CIGTMS) (Re-tendering). RFP Ref. No: UCO/DIT/774/2022-23 Date: 18/06/2022”.

The last date for submission of bid documents for “Request for Proposal (RFP) for Supply, Installation, Implementation, Post- Implementation Onsite Support of End-to-End Comprehensive & Integrated Global Treasury Management Solution (CIGTMS) (Re-tendering)” which has been scheduled on **16.09.2022** is hereby extended upto **07.10.2022 (4:00 PM)**. Technical bids will be opened on **07.10.2022 at 4:30 PM**.

Department of Information Technology
Head Office, Kolkata